

Accepted Manuscript

Title: Loading of tacrolimus containing lipid based drug delivery systems into mesoporous silica for extended release

Author: Li Liu, Jia Li, Mei-hui Zhao, Hui Xu, Lin-sen Li, Shao-ning Wang

PII: S1818-0876(16)30055-1

DOI: <http://dx.doi.org/doi: 10.1016/j.ajps.2016.07.005>

Reference: AJPS 388

To appear in: *Asian Journal of Pharmaceutical Sciences*

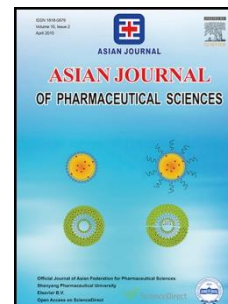
Received date: 15-4-2016

Revised date: 22-6-2016

Accepted date: 20-7-2016

Please cite this article as: Li Liu, Jia Li, Mei-hui Zhao, Hui Xu, Lin-sen Li, Shao-ning Wang, Loading of tacrolimus containing lipid based drug delivery systems into mesoporous silica for extended release, *Asian Journal of Pharmaceutical Sciences* (2016), <http://dx.doi.org/doi: 10.1016/j.ajps.2016.07.005>.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.



1 **Title page**

2 **Loading of Tacrolimus Containing Lipid Based Drug Delivery**
3 **Systems into Mesoporous Silica for Extended Release**

4
5 Li Liu^a, Jia Li^a, Mei-hui Zhao^a, Hui Xu^{a,*}, Lin-sen Li^b, Shao-ning Wang^c

6 ^a School of Pharmacy, Shenyang Pharmaceutical University, Shenyang 110016, P. R. China;

7 ^b Department of Biochemistry, Shenyang Medical College, Shenyang 110034, P. R. China;

8 ^c School of Pharmaceutical Engineering, Shenyang Pharmaceutical University, Shenyang
9 110016, P. R. China

10

11

12

13

14

15

16

17

18

19 ***Corresponding authors: Hui Xu,**

20 School of Pharmacy, Shenyang Pharmaceutical University,

21 Shenyang 110016, P. R. China.

22 Tel: 024-23986356.

23 Email: xuhui_lab@163.com

24

25

26

27

28

29

30

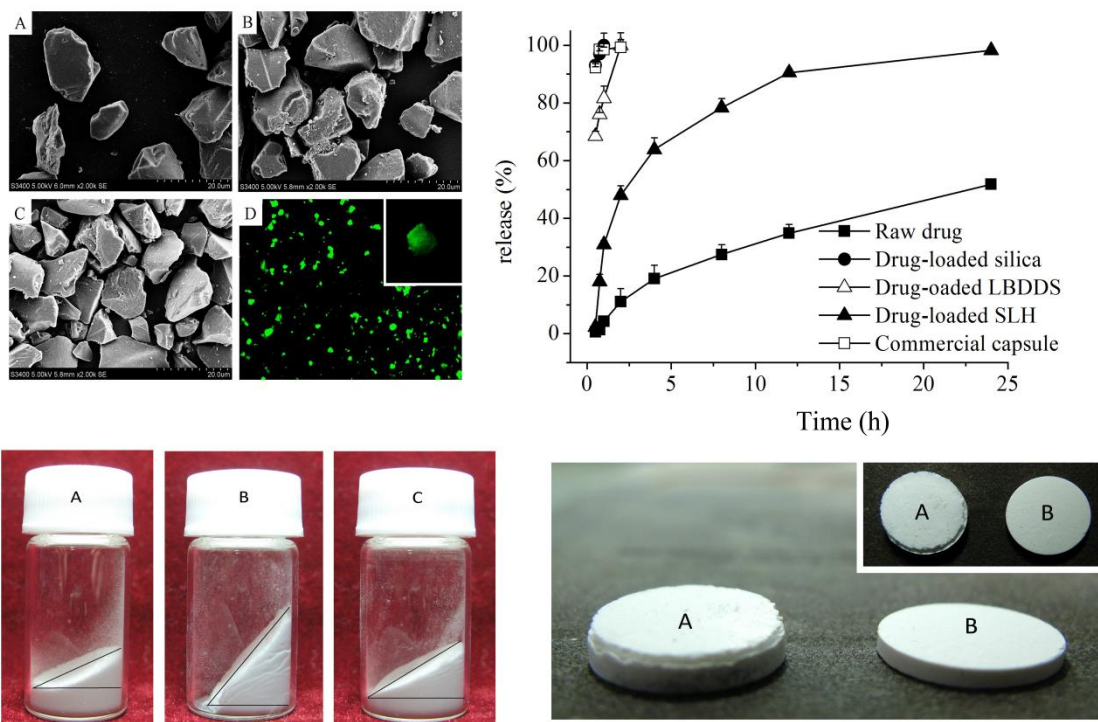
31

32

33 **Graphical Abstract**

34 Tacrolimus loaded silica-lipid hybrid (SLH) powder prepared by mesoporous silica and lipid
 35 had good sustained release behavior, flowability and compressibility. The drug was
 36 distributed into the pores of the silica.

37



38

39

40 **Abstract:**

41 Many studies had been focused on designing tacrolimus sustained release
 42 preparations based on solid dispersion technique, but no one had tried to employ
 43 mesoporous silica as the carrier material to realize this goal. The purpose of this study
 44 was to develop a novel, simple and environmental friendly drug loading method with
 45 mesoporous silica to obtain tacrolimus sustained-release preparation. Tacrolimus was firstly
 46 dissolved in the molten mixed lipid composed of Compritol 888 ATO and Gelucire 50/13 to
 47 prepare a drug loaded lipid-based drug delivery systems (LBDDS), then the liquid LBDDS
 48 was adsorbed by mesoporous silica to transfer the liquid into solid powder, ie. the
 49 tacrolimus sustained release silica-lipid hybrid (SLH). The SLH was characterized by

50 **SEM, CLSM, XRPD and DSC, and the *in vitro* drug** release was tested using a paddle
51 method. SEM and CLSM observation showed that the LBDDS was efficiently distributed
52 throughout the pores of the silica. The results of DSC and XRPD illustrated that the lipid
53 existed inside the silica at amorphous state. **The drug-loaded SLH showed** good flowability,
54 compressibility, compactibility **and two-phase *in vitro* drug release process within 24**
55 **hours, which did not** change obviously even after storage at 40 °C for 10 d. **The present**
56 study provided a novel and **simple method to prepare tacrolimus sustained release**
57 **powder, which provided a feasible solution to solidify the liquid LBDDS of not only**
58 **extended drug release behavior, but also improved stability and micromeritic**
59 **properties.**

60

61

62 Keywords: Tacrolimus; Lipid-based drug delivery system; Mesoporous silica; Silica-lipid
63 hybrid; Sustained-release

64

65

66

67

68

69 **1. Introduction**

70 Tacrolimus, previously known as FK506, is a macrolide immunosuppressant produced
71 by *Streptomyces tsukubaensis*. **Due to its** potent immunosuppressive activity, low dosage,
72 high organ survival rate and low incidence of acute rejection rate, tacrolimus is clinically
73 used in the prophylaxis of organ rejection after hepatic and renal transplantation procedures
74 [1]. As a BCS class **II** drug, the poor water solubility of tacrolimus greatly limited its oral
75 bioavailability. Thus, increasing the solubility **or dissolution** of tacrolimus was one of the
76 key problems in improving oral bioavailability, and many studies have been devoted to the
77 modification of various drug delivery systems, including solid dispersion [2,3], nanoparticles
78 [4-6], liposome [7,8], and self-emulsification [9]. **Tacrolimus extended release**

79 **preparations attracted great attention in both clinical practice and drug development**
80 **due to its superior therapeutic profits, such as decreased ratio of peak/trough blood**
81 **drug level**, improved oral bioavailability and eliminated need for frequent dosing. There
82 **were** already two modified release products of tacrolimus approved and marketed worldwide.
83 A once-daily tacrolimus sustained release capsule supplied by *Astellas Pharma Inc* **was**
84 **approved in Europe (Advagraf[®]) and Japan (Graceptor[®]) in 2007 [10-11]**. After that,
85 tacrolimus sustained-release tablet produced by *Veloxis Pharmaceuticals* was approved both
86 in Europe and USA with the brand name of Envarsus[®] and Envarsus[®]XR, respectively. In
87 addition, there was an explosion of interest in developing tacrolimus extended release
88 preparations in recent years. *Cho et al.* had focused on the development of novel
89 fast-dissolving tacrolimus solid dispersion-loaded prolonged release tablet. *Wang et al.*
90 **reported** a novel gastro-retentive sustained-release tablet of tacrolimus based on
91 self-microemulsifying mixture [12].

92 **Lipid excipients** aroused great interest to drug formulation researchers owing to their
93 ability of providing solutions to drug delivery challenges, such as low drug solubility and
94 dissolution rate, poor oral absorption of water-insoluble drugs, as well as the simplified and
95 safety manufacturing processes, and so on [13-15]. **For poorly water-soluble drugs,**
96 **lipid-based drug delivery systems (LBDDS) unveiled distinguish prospects as it can**
97 **mimic the food effect via creating a lipophilic microenvironment within the**
98 **gastrointestinal tract**, thus enhancing the solubilization of poorly water-soluble drug
99 molecules and providing a concentration gradient that drive the absorption of drug molecules
100 in the intestinal tract [16-20]. One of the major disadvantages of LBDDS lies in its liquid or
101 low melting-point semi-solid state (eg. lipid solutions, suspensions, and emulsions) at
102 ambient environment, which may result in physicochemical instability during storage, **thus**
103 **greatly limited applications of LBDDS [21-22]**.

104 Mesoporous materials with tunable pore size of 2 nm to 50 nm, large surface area and
105 porosity, and **high adsorbing capacity have been selected as ideal carriers for both fast**
106 **and extend drug release**. Mesoporous silica was widely investigated as drug delivery
107 systems since *Vallet-Regi* firstly used it for loading of drug in 2001 [23-27]. The use of

108 mesoporous silica in drug delivery systems is mainly due to its excellent adsorptive
109 properties. In previous reports, organic solvent solution soaking method was often used to
110 load poorly water-soluble drugs for fast drug release. **Wang et al. achieved sustained**
111 **release of drug by employing supercritical fluid technique to load poorly water-soluble**
112 **drug deep into the pore channels of mesoporous silica** [25]. It is the physical interaction
113 between silica and adsorbed guest molecules that **delays drug release** [28]. Mesoporous
114 silica can also be used as a carrier of LBDDS via physical adsorption **to convert the liquid**
115 **or semi-solid state LBDDS into solid powder and formed silica-lipid hybrid (SLH) with**
116 **improved the flowability, compressibility and compactibility. The powdered SLH combined**
117 **the well-known advantages of LBDDS with those of solid dosage forms, and what's more,**
118 **it bore the ability to greatly improve the physicochemical stability of LBDDS** [29].

119 **Till now, there is no report on the using of lipid excipients and mesoporous silica as**
120 **the organic/inorganic hybrid carrier to achieve solidified LBDDS for sustained**
121 **tacrolimus release. In this study, tacrolimus was dissolved in a mixture of molten lipids**
122 **composed of Glyceryl Behenate and Stearoyl polyoxyl-32 glycerides to obtain drug**
123 **loaded LBDDS, and then mesoporous silica was used to adsorb the liquid LBDDS to**
124 **obtain SLH powder. The physicochemical properties of SLH powder and their *in vitro***
125 **drug release were studied. It is desirable to achieve a novel, simple and solvent-free**
126 **technique to fabricate tacrolimus sustained release preparations with this method.**

127 **2. Materials and methods**

128 *2.1 Materials*

129

130 Tacrolimus (Chinese Pharmacopeia) was a gift from Zhejiang Hisun Pharmaceutical Co. Ltd
131 (Jiangsu, China); **Glyceryl Behenate** (Compritol 888 ATO) and **Stearoyl polyoxyl-32**
132 **glycerides** (Gelucire 50/13) were kindly donated by Gattefossé (France); Mesoporous silica
133 (**Parteck SLC**) was provided by Merck & Co. Inc (Germany). **Tacrolimus capsules: brand**
134 **name Prograf; standard 1mg/capsule; batch number 1E2201A.** All other chemicals used
135 were of chemical or analytical grades and used as received.

136

137 2.2 Preparation of tacrolimus loaded LBDDS and SLH

138

139 Tacrolimus loaded SLH was prepared in a process of two-steps, ie., preparation of
140 drug-loaded LBDDS and the solidification of LBDDS to form the drug-loaded SLH as
141 described below. (1) Tacrolimus, and/or Compritol 888 ATO and Gelucire 50/13 were
142 accurately weighed according to the formulations listed in Table 1, and placed into a glass
143 round bottom flask and heated at 80°C with constant stirring until all the excipients were
144 melted; then tacrolimus was added into the molten mixture at 70°C with stirring to form a
145 homogenous mixture. (2) The mesoporous silica was mixed with the above molten mixture,
146 and the molten mixture was adsorbed into the pores inside the silica under decreased
147 pressure. The mixture was stirred for another half an hour at 70°C and then cooled down to
148 room temperature to form the final solid hybrid mixture. The lipid free, tacrolimus loaded
149 mesoporous silica was prepared with similar method using ethanol as the solvent and
150 fabricated at room temperature.

151

152 2.3 Scanning electron microscopy (SEM) observation

153

154 The mesoporous silica, drug-loaded SLH and drug-loaded SLH after *in vitro* drug
155 release were sputtered with gold, their morphologies were observed using a S-3400 SEM
156 (Hitachi, Japan) at 5.0 KV electron acceleration voltage.

157

158 2.4 Confocal laser scanning microscopy microphotographs (CLSM)

159

160 Coumarin, a fluorescent dye, was loaded **into SLH** as the model molecule instead of
161 tacrolimus to observe the distribution of drug inside the mesoporous carrier. Briefly, about 1
162 µg of coumarin was dissolved in 100 mg of lipid mixture molten at 70°C, the mixture was
163 then adsorbed into 300 mg of mesoporous silica. Confocal laser scanning microscopic
164 images of the coumarin-loaded mesoporous silica were taken with LSM 710 and Axio
165 Inverted Microscope (ZEISS, Germany), and laser of 464 nm wavelength was used to excite

166 the fluorescence coumarin.

167

168 2.5 X-ray powder diffraction (XRPD)

169

170 The crystalline characteristics of **tacrolimus, drug-loaded** LBDDS, mesoporous silica
171 and **drug-loaded** SLH were determined by XRD-6000 X-ray Powder Diffractometry
172 (Shimadzu, Japan) at 40kV and 40mA using Cu K α radiation. The samples were measured in
173 the 2 θ range between 5° and 60° at a scan rate of 2.8° per second with step of 0.0167°.

174

175 2.6 Differential scanning calorimetry (DSC)

176

177 The physical state of **tacrolimus, drug-loaded** LBDDS, mesoporous silica and
178 drug-loaded SLH were measured with DSC-6 (Mettler-Toledo, Switzerland). Samples (about
179 5 mg) were weighed accurately and sealed in an aluminum pan and the DSC curves were
180 determined at a heating rate of 10°C/min from 25°C to 180°C under N₂ gas purge of 40
181 ml/min, and an empty pan was used as reference.

182

183

184

185 2.7 *In vitro* drug release

186

187 The *in vitro* release test was performed using the paddle method described in Chinese
188 *Pharmacopoeia (2015)* with small beakers using a ZRS-8G Dissolution Apparatus (Tianda
189 Tianfa Technology Co. Ltd., China). 100 ml of distilled water containing 0.005%
190 hydroxypropyl cellulose (adjust pH to 4.5 with phosphoric acid) was used as the dissolution
191 media according to *USP 35*, and the stirring speed was set at 50 rpm/min. Samples
192 equivalented to 1 mg of tacrolimus was placed in the dissolution medium, 3.0 ml of the
193 medium was withdraw from the beakers and replaced with the equal volume of fresh
194 dissolution medium at pre-determined time intervals. The medium was filtered through a

195 membrane filter of 0.45 μm pore size (Millipore, USA), the content of tacrolimus was then
196 assayed by L-2000 High Performance Liquid Chromatography (HPLC) (Hitachi, Tokyo,
197 Japan), and the UV-vis detector was set at 210nm. Tacrolimus was analyzed using Dikma
198 ODS C18 chromatography column (200mm \times 4.6mm, 5 μm). The mobile phase consisted of
199 acetonitrile and distilled water (75:25, v/v) and was pumped at a flow rate of 1.0 ml/min at
200 the temperature of 50°C. **Validation of assay method showed good linearity in the**
201 **concentration range of 0.5 $\mu\text{g/ml}$ to 12.0 $\mu\text{g/ml}$ ($A=14669C+13.799$, $R^2=0.999$) and**
202 **precision (RSD<2%).**

203

204 2.8 Properties of the powder

205 2.8.1 Density

206

207 Bulk, tapped, and true densities of the mesoporous silica powder, drug-loaded LBDDS
208 **of formulation No.1** and drug-loaded SLH **of formulation No.3** were measured with
209 methods in literatures [30,31]. About 0.5~1.0 g mesoporous silica, LBDDS or SLH were
210 placed in a graduated cylinder to measure the bulk and tapped density. Bulk density was
211 calculated by the equation mass/volume before tapping while the tapped density was
212 obtained after 100 taps to allow the powder volume to plateau. The true density was
213 measured as follows: about 0.5~1.0 g mesoporous silica, drug-loaded LBDDS or
214 drug-loaded SLH were compressed into tablet at maximum pressure, and the true density
215 was calculated by mass/volume of the tablet.

216

217 2.8.2 Flowability

218

219 Two methods were used to evaluate the flowability of the powders, ie. Carr's index and
220 Hausner ratio, and the tilt method.

221 The Carr's index and Hausner ratio could be calculated by Eq.1 and Eq.2, respectively
222 [32]

223 Carr's index = (tapped density - bulk density)/tapped density (Eq. 1)

224 Hausner ratio = tapped density/bulk density (Eq. 2)

225 And to measure the angle of repose, the cylindrical container with powder samples
226 which took up about 1/3 of the container volume was fluctuated, after the powder remained
227 repose, the angle formed by the surface of the powder was defined as the angle of repose.

228

229 2.8.3 Compressibility

230

231 About 300mg of pure silica or drug-loaded SLH powder was accurately weighed,
232 and the volume of the powder (V_0) was determined. Then, the powders were
233 compressed into flat tablet with a diameter of 13 mm using a single punch press at
234 pressure P and the maximum pressure, respectively, and the corresponded volumes of the
235 samples (V and V_∞) were determined. The slope (c_{15}) calculated according to Heckel
236 equation (Eq.3) was used to evaluate the powder compressibility.

237

$$\ln V / (V - V_\infty) = c_{15} P + \ln V_0 / (V_0 - V_\infty) \quad (\text{Eq.3})$$

238

239 3.Results and discussion

240 3.1 Morphology and physicochemical properties

241

242 The SEM images of mesoporous silica, drug-loaded SLH, and drug-loaded SLH after *in*
243 *vitro* drug release test were shown in Fig.1, A, B, and C. **The mesoporous silica was small**
244 **particles with irregular shape and smooth surface, with the particle size ranging from 5**
245 **μm to 25 μm . The surface morphology, size and size distribution of the drug-loaded**
246 **SLH were similar with those of the mesoporous silica except for a small amount of**
247 **residual lipid material adhered on the surface.** The CLSM image provided further insight
248 into the drug distribution inside the mesoporous silica particles (Fig.1,D). Green
249 fluorescence (owing to coumarin) was clearly visualized to be uniformly concentrated inside
250 the silica, which meant the active drug can be efficiently adsorbed into the mesoporous silica
251 together with the hot-melt LBDDS.

252 **Fig.2 showed the DSC curves and the XRPD patterns of tacrolimus, drug-loaded**

253 **LBDDS, mesoporous silica and drug-loaded SLH, respectively. Tacrolimus showed an**
254 **endothermic peak at 130°C that corresponded to its melting point. The DSC curve of**
255 **drug-loaded LBDDS didn't showed the endothermic peak of tacrolimus, and it**
256 **exhibited two endothermal peaks, which corresponded to the melting point of**
257 **Compritol 888 ATO (~70°C) and Gelucire 50/13 (~50°C), respectively. However, there**
258 **were no peaks of tacrolimus, Compritol 888 ATO or Gelucire 50/13 in the DSC curve of**
259 **drug-loaded SLH. As the drug content in both drug-loaded LBDDS and drug-loaded**
260 **SLH was very low (9.1% and 2.3% respectively), the results of DSC analysis could only**
261 **indicate that LBDDS existed in the mesoporous silica at amorphous state.**

262 **XRPD analysis could clearly describe the crystalline degree of materials. As seen in**
263 **Fig.2, B, the XRPD pattern of tacrolimus displayed multi-peaks in the range between**
264 **2 θ =5° and 2 θ =40°, while that of drug-loaded LBDDS had 3 peaks at 2 θ =19°, 2 θ =21°,**
265 **and 2 θ =23°, respectively, which could be attributed to the presence of crystalline lipid.**
266 **The mesoporous silica and drug-loaded SLH showed no typical crystal peak, which**
267 **indicated that the drug-loaded LBDDS (mainly the lipids) existed inside the**
268 **mesoporous silica at amorphous state. Due to the extremely low content of tacrolimus, the**
269 **XRPD results could only give information that the mixed lipids in mesoporous silica was**
270 **presented at amorphous state, which was different to the crystalline state in LBDDS.**

271

272 *3.2 In vitro release of tacrolimus*

273

274 **The *in vitro* release test were conducted with the formulations obtained, and the**
275 **results were compared with that of commercial capsule (Table 1, Fig.3.). As shown in**
276 **Fig.3, A, for the raw tacrolimus, only about 50% of the drug could release within 24 h,**
277 **while the drug of commercial capsule released completely within 1 h. The drug-loaded**
278 **LBDDS and drug-loaded mesoporous silica free of lipids demonstrated fast release of 100%**
279 **within 2 h and 0.5 h, respectively. Drug-loaded SLH (formulation No.3) that was obtained**
280 **by co-loading of the lipid matrix and tacrolimus into the pores of mesoporous silica showed**
281 **extended and complete drug release at 24 h, which may be attributed to the retardation effect**

282 of the semisolid lipids matrix and the mesopores of the silica, as well as the solid dispersion
283 nature of the lipid carrier.

284 The mixed lipids composed of high melting point and weak hydrophilicity Compritol
285 888 ATO and low melting point and better hydrophilicity Gelucire 50/13 were used as the
286 carrier material of tacrolimus loaded LBBDS to improve the solubility/dissolution of
287 tacrolimus significantly. The mixed lipid at hot-melt state (about 70°C dependent on the ratio
288 of the two lipids) can readily dissolve water-insoluble tacrolimus, and after cooling down to
289 room temperature forms drug loaded solid dispersion. In our art, mesoporous silica was
290 directly introduced into the hot-melt lipid, the lipid and the **tacrolimus dissolved in** were
291 then adsorbed inside the mesopores under vacuum. After totally sucked in, the finally
292 obtained SLH was solid powder of good flowability, instead of wax-like, semi-solid state of
293 the mixed lipid. Different to the volatile organic solvents commonly used for loading
294 water-insoluble active drugs into mesoporous materials **in previous studies**, the hot-melt
295 lipid was not only used as the solvent to tacrolimus, but also the retardant material to sustain
296 drug release. The advantages of such organic solvent-free technique also include simple, low
297 cost/profit ratio, and environmental friendly, and by varying the ratio of the two lipids, it will
298 be easy to adjust the melting points and drug **release** rate.

299 **One of the commonly faced difficulties in developing a lipid based modified release**
300 **formulation may lie in the instability of the lipid crystalline**, and consequent changes on
301 the melting points of the carrier material and even the drug release behavior after storage
302 under ambient or challenged conditions.

303 Many researches had been devoted to investigate the impact of time and storage
304 condition on drug release of lipid-based modified release preparations. **Normally, the lipid**
305 **excipients were glyceride mixtures and they naturally bore polymorphism that was the**
306 **main reason of changed drug release behavior over time due to the crystalline**
307 **transformation. Fig.3, B indicated significant change on *in vitro* drug release behavior**
308 **of tacrolimus loaded LBDDS (formulation No.1) after being stored at 40°C, a high**
309 **temperature, challenge condition. Ironically, no apparent differences on drug release**
310 **behavior of drug-loaded SLH (formulation No.3) were observed again after being**

311 **stored for 10 d (Fig.3, C).** The similarity factor f_2 of the two release curves was calculated
 312 by Eq.4,

$$313 \quad f_2 = 50 \times \log\left\{ \left[1 + \frac{1}{n} \sum_{j=1}^n (R_j - T_j)^2 \right]^{-0.5} \times 100 \right\} \quad (\text{Eq.4})$$

314 The value of f_2 was 59.6, higher than 50, which demonstrated similar of the two release
 315 curves. This result also indicated improved stability by loading the lipid carrier inside
 316 mesoporous carrier. As the LBDDS was dispersed in the channels of mesoporous silica at
 317 amorphous state, **it** was separated and restricted inside huge amount of fine pores in the
 318 silica, **thus the crystal growth of LBDDS or re-crystallization was greatly inhibited.** In
 319 addition, the LBDDS adsorbed in the pore was avoided the contact with atmospheric oxygen,
 320 which **would** be helpful to improve the durability against oxidative degradation [33,34].

321 The ratios of drug to mixed lipids and Compritol 888 ATO to Gelucire 50/13 had a
 322 marked influence on drug release behaviors (**Fig.4, A and B**). With the decrease of the ratios
 323 of drug to lipids, the release of tacrolimus from SLH remarkably decreased. When the ratio
 324 of drug to lipids was 1:10, tacrolimus released slowly and completely at 24 h from the SLHs,
 325 and higher or lower ratios resulted **in** fast release or uncompleted release even after 24 h
 326 (**Fig.4, A**). The more content of Compritol 888 ATO in the mixed lipids, the slower the drug
 327 release rate was observed (**Fig.4, B**).

328 Since the lipids used also played the role of retarding material to sustain drug release,
 329 too high ratio of mixed lipids to tacrolimus used would lead to stronger inhibition effect
 330 which limited the diffusion of tacrolimus outward and resulted in an uncompleted release,
 331 while too low ratio was not enough to retard **drug diffusion into medium and thus resulted**
 332 **in fast release.** As it is well known, the melting point and the HLB values of the lipids are
 333 the most important properties when considering lipid excipients for extended drug release
 334 formulation. The lipid with high melting point and low **HLB value**, such as Compritol 888
 335 ATO, is more effective in retarding drug release [35-37]. As a hydrophobic lipid with a
 336 melting point of 74°C and a HLB value of 2, Compritol 888 ATO **may obviously** inhibit the
 337 diffusion of release medium into LBDDS, and subsequently retard the drug release; On the
 338 contrary, Gelucire 50/13 is a more hydrophilic lipid **with a melting point of 50°C and a**

339 **HLB value of 13** [38,39], which can dissolve rapidly as soon as they contact with the release
340 medium and then enhances the dissolution of loaded drug.

341 **The ratio of lipids to mesoporous silica was another important factor that greatly**
342 **influenced drug release behavior from the SLHs as presented in Fig.4, C.** As the results
343 showed the co-loading of the lipid matrix and tacrolimus into mesoporous silica was
344 effective in retarding drug release, and the lower ratio of lipids to mesoporous silica
345 corresponded to slower drug release. When the ratio of lipids to silica was 1:1, the
346 formulation showed a relatively fast drug release of about 90% **within 2 h**, while with the
347 increase of silica, for example the ratios lower than 1:2, the drug release began to display the
348 sustained release behavior. What's more, there was no obvious difference when the ratios
349 were 1:3 and 1:4. Thus, the significance of the ratio of lipids to silica in designing optimal
350 sustained-release composition was highlighted beyond doubt. This was because **if the**
351 **amount of the silica was not enough to adsorb all the lipid completely (eg. the ratio of**
352 **lipid to silica higher than 1:2), the lipids together with the dissolved tacrolimus were**
353 **adsorbed on the surface of the mesoporous silica particles which resulted in a fast**
354 **release. According to the above results, formulation No.3 was selected as the optimum**
355 **one for further studies.**

356 The *in vitro* drug release data of SLH (**formulation No.3**) was fitted very well to
357 first-order kinetic model (see Table 2), which indicated a diffusion-controlled mechanism of
358 finite dosed drug delivery device. The release of tacrolimus from SLH **was** a two-phases
359 process, ie, (1) **diffusion** of tacrolimus through the mesoporous channels to the surface of
360 silica carrier, and (2) **release** of tacrolimus to the medium. Phase 1 **was** obviously the
361 rate-control step of complete drug release process. The possible drug release process was
362 depicted and illustrated in Fig.5. For drug-loaded mesoporous silica, the organic solvent used
363 was completely removed after drug loading, tacrolimus was adsorbed on the inner surface of
364 the channels and presented at a highly **dispersing** state. The release medium **penetrated**
365 the mesoporous channels just after its contacting with the medium, and drug molecules
366 **diffused** through the medium-filled channels, which led to a fast drug release. **While for the**
367 **SLH, the situation was obviously different due to that the mesopores were filled with**

368 **the mixed lipid that inhibited both the penetration of medium inward and the**
369 **release/diffusion of tacrolimus outward.** Although the water-soluble lipid (Gelucire 50/13)
370 was readily dissolved into the release medium, the diffusion of tacrolimus through the
371 mesoporous channels filled with the residual water-insoluble lipid matrix was still relatively
372 slower (low diffusion coefficient) compared to that through the medium-filled channels like
373 in the case of drug-loaded mesoporous silica.

374

375 *3.3 Properties of the powder*

376 *3.3.1 Density*

377

378 Powder densities, including true density, bulk density and tap density, of mesoporous
379 silica, SLH, and LBDDS were listed in Table 3. **It could be seen that mesoporous silica**
380 **had the most significant difference between the bulk density and the tap density to the**
381 **true density,** followed by SLH and LBDDS powders.

382

383 *3.3.2 Flowability*

384

385 To the best of our knowledge, flow behavior of the powders is a major concern in
386 handling and processing operation such as flow from hoppers and silos, transportation,
387 mixing compression and packaging [40], and it is also important to decide the dose
388 uniformity of drug preparations. The compressibility index and the closely related Hausner
389 ratio have become the simple and popular methods to predict the flow properties of powder
390 according to *USP 35*. The values of the Carr's index and Hausner ratio of mesoporous silica,
391 SLH, and LBDDS were also determined and presented in Table 3. According to the
392 specification of flowability in *USP 35*, the LBDDS with a Hausner ratio of 1.09 and Carr's
393 Index of 8.45, and the pure silica with a Hausner ratio of 1.25 and Carr's Index of 20.0 were
394 classified as good-flowing and fair-flowing, respectively. While the SLH had an intermediate
395 flowability with a Hausner ratio lower than 1.18 (1.16) and Carr's Index lower than 15
396 (13.75), which was also classified as good-flowing. The angle of repose measured by tilt

397 method gave a direct indication to the flowability of the powders tested (Fig. 6). We could
398 draw the conclusion that the order of the flowability was LBDDS > SLH > pure mesoporous
399 silica, which was in accordance to the results of Hausner ratio and Carr's Index.

400

401 *3.3.3 Compressibility*

402

403 The slope of compress curve of SLH calculated by Heckel equation was 0.16, which
404 was bigger than that of mesoporous silica (0.10). According to the theory of Heckel equation,
405 the slope reflects the degree of plastic deformation. The bigger the slope is, the better the
406 compressibility is. **As shown in Fig.7** the tablet obtained from SLH showed smooth surface
407 and intact appearance, while the tablet obtained from mesoporous silica had relatively rough
408 surface and capped just after withdrawal from the die. It could be directly perceived that
409 **SLH had** better compactibility than mesoporous silica.

410 As a low density and rigid inorganic powder, mesoporous silica has poor flowability
411 and compressibility, which could **hardly** be compressed into tablet at all. LBDDS was
412 wax-like solid at room temperature, which could be **readily** crushed and grinded into fine
413 powder. What's more, the LBDDS powder has a good flowability and apt to aggregate
414 during storage. **In fact, Compritol 888 ATO has various properties, such as the lower
415 shear stress, appropriate melting point, high specific surface area, amphiphilic and film
416 forming tendency, and the use of lipid as lubricant initially in pharmaceuticals could be
417 traced back to the 1980s** [41,42]. As a low melting-point and wax-like powder, Compritol
418 888 ATO also **played** the role of binder in this study. The SLH powder was almost the same
419 as mesoporous silica in appearance. However, the key differences between mesoporous silica
420 and SLH powder were that SLH had a good flowability and compressibility which could be
421 compressed into tablet easily. No wonder the good flowability and compressibility of SLH
422 powder are mainly due to the good lubricity and plasticity of Compritol 888 ATO absorbed
423 on the surface of the silica.

424

425 **4. Conclusion**

426

427 **In this study, we provided a novel method to prepare a sustained release powder**
428 **containing tacrolimus by employing mesoporous silica as the carrier to adsorb**
429 **drug-loaded LBDDS.** Using this method, the low melting-point, wax-like LBDDS could be
430 transformed to a rigid solid powder with good flowability, compressibility and compactibility.
431 **By the combination of lipids and mesoporous silica, we successfully obtained a kind of**
432 **sustained-release powder, and** the stability of LBDDS under challenge condition could also
433 be obviously improved. Such organic solvent-free technique supplied a novel, simple, low
434 cost, ecologically friendly and easy to obtain at industrial scale method to prepare tacrolimus
435 solid sustained-release **powder**, the **powder** we obtained had a better stability and
436 **micromeritic properties**, which could be made into dry suspension, granules, capsules, and
437 tablets according to the clinical requirements.

438

439 **Declaration of interest**

440 **The authors report no declarations of interest.**

441

442

443

444 **Reference**

445

446 [1] Cho JH, Kim Y, Kim D et al. Development of novel fast-dissolving tacrolimus solid
447 dispersion-loaded prolonged release tablet. *Eur J Pharm Sci.*2013; 54: 1-7.

448 [2] Park YJ, Ryu DS, Li DX, et al. Physicochemical characterization of tacrolimus-loaded
449 solid dispersion with sodium carboxymethyl cellulose and sodium lauryl sulfate. *Arch*
450 *Pharm Res.* 2009; 32(6): 893-898.

451 [3] Joe JH, Lee WM, Park YJ, et al. Effect of the solid-dispersion method on the solubility
452 and crystalline property of tacrolimus. *Int J Pharm*, 2010; 395(1-2): 161-166.

453 [4] Watts AB, Cline AM, Saad AR et al. Characterization and pharmacokinetic analysis of

- 454 tacrolimus dispersion for nebulization in a lung transplanted rodent model. *Int J Pharm.*
455 2010; 384(1-2): 46-52.
- 456 [5] Shin SB, Cho HY, Kim DD et al. Preparation and evaluation of tacrolimus-loaded
457 nanoparticles for lymphatic delivery. *Eur J Pharm Biopharm.* 2010; 74(2): 164-171.
- 458 [6] Lamprecht A, Yamamoto H, Takeuchi H, et al. A pH-sensitive microsphere system for
459 the colon delivery of tacrolimus containing nanoparticles. *J Control Release.* 2005;
460 104(2): 337-346.
- 461 [7] Dutta S, Mezei M, Lee TD, et al. Liposomal tacrolimus and intestinal drug concentration.
462 *Transplant Proc.* 1998; 30(6): 2651-2652.
- 463 [8] McAlister VC. Liposomal tacrolimus: drug migration within blood compartments.
464 *Transplant Proc.* 1998; 30(4): 1000-1001.
- 465 [9] Borhade V, Nair H, Hegde D. Design and evaluation of self-microemulsifying drug
466 delivery system(SMEDDS) of tacrolimus. *AAPS PharmSciTech.* 2008; 9(1): 13-21.
- 467 [10]Christine E, Staatz, Susan E.T. Clinical Pharmacokinetics of Once-Daily Tacrolimus in
468 Solid-Organ Transplant Patients. *Clin Pharmacokinet.* 2015; 54(10): 993-1025.
- 469 [11]Nakamura Y, Hama K, Katayama H, et al. Safety and Efficacy of Conversion from
470 Twice-Daily Tacrolimus (Prograf) to Once-Daily Prolonged-Release Tacrolimus
471 (Graceptor) in Stable Kidney Transplant Recipients. *Transplantation Proceedings.* 2012;
472 44: 124-127.
- 473 [12]Wang Y, Gan Y, Zhang X, et al. Novel gastroretentive sustained-release tablet of
474 tacrolimus based on self-microemulsifying mixture: *in vitro* evaluation and *in vivo*
475 bioavailability test. *Acta Pharmacologica Sinica.* 2011; 32: 1294-1302.
- 476 [13]Yuan T, Qin L, Wang Z, et al. Solid lipid dispersion of calcitriol with enhanced
477 dissolution and stability. ***Asian J Pharm Sci.* 2013; 8: 39-47.**
- 478 [14]Rosiaux Y, Jannin V, Hughes S, et al. Solid lipid excipients-Matrix agents for sustained
479 drug delivery. ***J Control Release.* 2014; 188: 18-30.**
- 480 [15]Strickley R.G. An Overview of Lipid Excipients currently available: Strengths,
481 Weaknesses and Opportunity Gaps: The Options for the Formulators.
482 *Bull.tech.Gattefossé.* 2007; 100: 31-37.

- 483 [16]Humberstone AJ, Charman WN. Lipid-based vehicles for the oral delivery of poorly
484 water soluble drugs. *Adv Drug Delivery Rev.* 1997; 25(1): 103-128.
- 485 [17]Kossena GA, Boyd BJ, Porter CJH, et al. Separation and characterization of the
486 colloidal phases produced on digestion of common formulation lipids and assessment of
487 their impact on the apparent solubility of selected poorly water-soluble drugs. ***J Pharm***
488 ***Sci.* 2003; 92(3): 634-648.**
- 489 [18]Porter CJH, Trevaskis NL, Charman WN. Lipids and lipid-based formulations:
490 optimizing the oral delivery of lipophilic drugs. *Nat Rev Drug Discov.* 2007; 6(3):
491 231-248.
- 492 [19]Tan A, Rao S, Prestidge CA. Transforming Lipid-Based Oral Drug Delivery System into
493 Solid Dosage Forms: An Overview of Solid Carriers, Physicochemical Properties, and
494 Biopharmaceutical Performance. *Pharm Res.* 2013; 30: 2993-3017.
- 495 [20]Dening TJ, Rao S, Thomas N, et al. Novel Nanostructured Solid Materials for
496 Modulating Oral Drug Delivery from Solid-State Lipid-Based Drug Delivery System.
497 *The AAPS Journal.* 2016; 18(1): 23-40.
- 498 [21]Chakraborty S, Shukla D, Mishra B, et al. Lipid-an emerging platform for oral delivery of
499 drugs with poor bioavailability. *Eur J Pharm Biopharm.* 2009; 73(1): 1–15.
- 500 [22]Pouton CW. Lipid formulations for oral administration of drugs: non-emulsifying,
501 self-emulsifying and ‘self-microemulsifying’ drug delivery systems. *Eur J Pharm Sci.*
502 2000; 11(S2): S93-S98.
- 503 [23]Vallet-Regi M, Balas F, Arcos D. Mesoporous materials for drug delivery. ***Angew Chem.***
504 ***Int. Ed.* 2007; 46: 7548-7558.**
- 505 [24]Vallet-Regi M., Ramila A., Real R.P., et al. A new property of MCM-41:drug delivery
506 system. *Chem. Mater.*2001; 13: 308-311.
- 507 [25]Wang L, Che X, Xu H, et al. A novel strategy to design sustained-release poorly
508 water-soluble drug mesoporous silica microparticles based on supercritical
509 fluidtechnique. ***Int. J Pharm.* 2013; 454:135-142.**
- 510 [26]Zhang H , Shahbazi MA, Mäkilä ME, et al. Diatom silica microparticles for sustained
511 release and permeation enhancement following oral delivery of prednisone and

- 512 mesalamine. *Biomaterials*. 2013; 34: 9210-9219.
- 513 [27] Kohno Y, Kato Y, Shibata M, et al. Fixation and stability enhancement of beta-carotene
514 by organo-modified mesoporous silica. *Microporous Mesoporous Mater*. 2016; 220: 1-6.
- 515 [28] Kim KS, Park SJ. Effect of porous silica on sustained release behaviors of pH sensitive
516 Pluronic F127/poly(acrylic acid) hydrogels containing tulobuterol. ***Colloids Surf. B***
517 ***Biointerfaces*. 2010; 80: 240-246.**
- 518 [29] Chakkumkal A, Arun K, Devinder S, et al. Influences of process and formulation
519 parameters on powder flow properties and immunogenicity of spray dried polymer
520 particles entrapping recombinant pneumococcal surface protein A. ***Int J Pharm*. 2014;**
521 **466: 198-210.**
- 522 [30] Benkovic M, Tušek AJ, Lenart A, et al. Artificial neural network modelling of changes in
523 physical and chemical properties of cocoa powder mixtures during agglomeration.
524 ***LWT-Food Sci Technol*. 2015; 64: 140-148.**
- 525 [31] Wells J. I. *Pharmaceutical Preformulation: The Physicochemical Properties of Drug*
526 *Substances*. Chichester, U.K. 1988.
- 527 [32] Xanthakis E, Ruud van Ommen JRV, Ahrné L, et al. Flowability characterization
528 of nanopowders. ***Powder Technol*. 2015; 286: 156-163.**
- 529 [33] Brigo L, Scomparin E, Galuppo M, et al. Mesoporous silica sub-micron spheres as
530 drug dissolution enhancers: Influence of drug and matrix chemistry on functionality
531 and stability. *Mater. Sci. Eng*. 2016; C59: 585-593.
- 532 [34] Wang J, Wen H, Desai D. Lubrication in tablet formulations. ***Eur J Pharm***
533 ***Biopharm*. 2010; 75(1): 1-15.**
- 534 [35] Jannin V, Rosiaux Y, Doucet J, et al. Exploring the possible relationship between
535 the drug release of Compritol®-containing tablets and its polymorph forms using
536 micro X-ray diffraction. ***J Control Release*. 2015; 197: 158-164.**
- 537 [36] Roberts M, Vellucci D, Monstafa S, et al. Development and evaluation of
538 sustained-release Compritol®888 ATO matrix mini-tablets. ***Drug Dev. Ind. Pharm*.**
539 **2012; 38(9): 1068-1076.**
- 540 [37] Gupta M.K, Goldman D, Bongner RH, et al. Enhanced drug dissolution and bulk

541 properties of solid dispersions granulated with a surface adsorbent.
542 Pharm.Dev.Technol. 2001; 6(4): 563-572.

543 [38]Jannin V, Musakhanian J, Marchaud D. Approaches for the Development of Solid
544 and Semi-solid Lipid-Based Formulations. Adv. Drug Deliv. Rev. 2008; 60(6):
545 734-746.

546 [39]Shen SC, Ng WK, Chia LSO, et al. Preparation and application of monodispersed
547 mesoporous submicron carbon particles as a drug carrier. Powder Technol. 2014;
548 261: 241-249.

549 [40]Rao M, Ranpise A, Borate S, et al. Mechanistic Evaluation of the Effect of Sintering
550 on Compritol®888 ATO Matrices. AAPS Pharm Sci Tech. 2009; 10(2): 355-360.

551 [41]Jannin V, Berard V, N'Diaye A, et al. Comparative study of the lubricant performance of
552 Compritol 888 ATO either used by blending or by hot melt coating. Int J Pharm. 2003;
553 262(1-2): 39-45.

554 [42]Krause J, Thommes M, Breitreutz J. Immediate release pellets with lipid binders
555 obtained by solvent-free cold extrusion. Eur J Pharm Biopharm. 2009; 71(1): 138-144.

556

557 Figure and Table legends

558 **Fig. 1.** SEM images of mesoporous silica (A), drug-loaded SLH (B) and drug-loaded SLH
559 after *in vitro* drug release test (C), and CLSM image of the coumarin loaded SLH (D, The
560 insert showed an enlarged image).

561 **Fig. 2.** DSC curves (A) and XPRD patterns (B) of drug-loaded LBDDS (a), mesoporous
562 silica (b), drug-loaded SLH (c) and tacrolimus(d).

563 **Fig. 3.** The *in vitro* release of raw tacrolimus, commercial capsules, drug-loaded
564 mesoporous silica, drug-loaded LBDDS and drug-loaded SLH (n=3) (A), the *in vitro*
565 release of drug-loaded LBDDS (B) and drug-loaded SLH (C) before and after being
566 stored at 40°C for 10 d (n=3).

567 **Fig. 4.** The *in vitro* release of tacrolimus from various formulations of drug loaded SLH
568 (n=3). The effect of the ratios of tacrolimus to mixed lipids (Compritol 888 ATO :
569 Gelucire 50/13=7 : 3 and mixed lipids : silica=1 : 3) on *in vitro* drug release (A), the

570 effect of the ratios of Compritol 888 ATO to Gelucire 50/13 (tacrolimus : mixed
 571 lipids=1 : 10 and mixed lipids : silica=1 : 3) on *in vitro* drug release (B) and the effect of
 572 the ratios of mixed lipids to mesoporous silica (Compritol 888 ATO : Gelucire 50/13=7 :
 573 3 and tacrolimus : mixed lipids=1 : 10) on *in vitro* drug release (C) .

574 **Fig. 5.** The processes of drug loading and drug release from the drug-loaded SLH. Phase 1:
 575 Diffusion of tacrolimus through the mesoporous channels to the surface of silica carrier;
 576 Phase 2: Release of tacrolimus to the media.

577 **Fig. 6.** Flowability of LBDDS (A), mesoporous silica (B) and SLH (C) evaluated by tilting
 578 angles.

579 **Fig. 7.** The appearance of the tablets compressed from pure silica (A) and SLH (B),
 580 respectively.

581

582

583 **Tables:**

584 Table 1 Formulations of tacrolimus loaded LBDDS and SLH

| No. | Ingredients, mg | | | |
|-----|-----------------|------------------|----------------|-------------------|
| | Tacrolimus | Compritol888 ATO | Gelucire 50/13 | Mesoporous Silica |
| 1 | 20 | 140 | 60 | |
| 2 | 20 | 70 | 30 | 360 |
| 3 | 20 | 140 | 60 | 660 |
| 4 | 20 | 210 | 90 | 960 |
| 5 | 20 | 120 | 80 | 660 |
| 6 | 20 | 160 | 40 | 660 |
| 7 | 20 | 140 | 60 | 220 |
| 8 | 20 | 140 | 60 | 440 |

| | | | | |
|----|----|-----|----|-----|
| 9 | 20 | 140 | 60 | 880 |
| 10 | 20 | | | 800 |

585

586

Table 2 The release patterns of tacrolimus loaded SLH

| Release pattern | Fitting equation | R^2 |
|------------------|---------------------------|--------|
| First order | $\ln(1-Q)=0.1973t-0.1094$ | 0.9939 |
| Higuchi | $Q=21.955t^{1/2}+7.4484$ | 0.8528 |
| Korsmeyer-Peppas | $Q=5.5596t^{0.94}$ | 0.8715 |

587

588

Table 3 Density and flow properties of mesoporous silica, SLH and LBDDS

| | Bulk density mg/cm ³ | Tap density mg/cm ³ | Ture density mg/cm ³ | Carr's index | Hausner ratio |
|-------------------|------------------------------------|-----------------------------------|------------------------------------|-----------------|------------------|
| Mesoporous silica | 298 | 373 | 1340 | 20.00 | 1.25 |
| LBDDS | 441 | 482 | 1080 | 8.45 | 1.09 |
| SLH | 448 | 519 | 1480 | 13.75 | 1.16 |

589

590

591